

Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcom Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstone Michael V. Messinger Judith U. Kim Iimothy J. Shea, Jr. Patrick E. Garrett Jeffrey T. Helvey Heidi L. Kraus Albert L. Ferro* Donald R. Banowit Peter A. Jackman Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Theodore A. Wood Elizabeth J. Haanes

Joseph S. Ostroff Frank R. Cottingham Christine M. Hullier Rae Lynn Prengaman George S. Bardmesser Daniel A. Klein Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller* LuAnne M. DeSantis Ann E. Summerfield Tiera S. Coston Aric W. Ledford* Helene C. Carlson Timothy A. Doyle* Jessica L. Parezo Gaby L. Longsworth* Lori A. Gordon* Nicole D. Dretar* Ted J. Ebersole Jyoti C. Iyer* Laura A. Vogel Registered Patent

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Practice Limited to
Federal Agencies

November 18, 2004

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RECEIVED

NOV 2 4 2004

Art Unit 2686

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Technology Center 2600

Re: U.S. Patent Application

Application No. 09/476,092; Filed: January 3, 2000

For: Analog Zero IF FM Decoder and Embodiments Thereof, Such As The

Family Radio Service

Inventors:

Sorrells et al.

Our Ref:

1744.0250001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Seventh Supplemental Information Disclosure Statement;
- 2. Form PTO-1449, listing 33 and enclosing 1 document;
- 3. Fee Transmittal;
- 4. Credit Card Payment Form in the amount of \$180.00 for submission of Information Disclosure Statement; and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540; www.skgf.com

Commissioner for Patents November 18, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michelle K. Holoubek Agent for Applicants

Registration No. 54,179

MKH/jmh

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
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F-66	40104/0004 5 4			

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$

`	180.00
,	100.00

Co	omplete if Known		
Application Number	09/476,092	RECEIVED	
Filing Date	January 3, 2000		
First Named Inventor	David F. Sorrells	NOV 2 4 2004	
Examiner Name	Naghmeh Mehrpou	rechnology Center 260	
Art Unit	2686	technology Center 260	0
Attorney Docket No.	1744.0250001		

METH	at apply)	FEE CALCULATION (continued)							
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Deposit Account	Sterne, Kess	sler, Goldstein & Fo	x P.L.L.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	authorized to	o: (check all that apply)		1053	130	1053	130	Non-English specification	
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	2001 395	Utility filing fee		1255	2,080	2255	1,040	Extension for reply within fifth month	
1 '	2002 175	Design filing fee		1401	340	2401	170	Notice of Appeal	
1003 550	2003 275	Plant filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
1004 790	2004 395	Reissue filing fee		1403	300	2403	150	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	و ا	SUBTOTAL (1) (\$)	N/A	1452	110	2452	55	Petition to revive - unavoidable	
0 5750				1453	1,370	2453	685	Petition to revive – unintentional	
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SUBMITTED BY Name (Print/Type) Michelle K. Høloubek Registration Ne (Attorner/Agent) S4,179 Telephone (202) 371-2600	**or number previ	ously paid, if greater; For Reissues, see above	 g	SUBTOTAL (3) ((\$) 180.0	υ
Witchelle K. Holoubek (Attorney/Agent) 54,179 Telephone (202) 371,72600	SUBMITTED BY			(Complete (if a	applicable))	
and the Valley	Name (Print/Type)	Michelle K. Høloubek	54,179	Telephone	(202) 371-2600	
Signature Maddle K. Hirtralife Date 1/18/09	Signature	Matello K. Holoabel		Date	11/18/04	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 7304

Sorrells et al.

Art Unit: 2686

Appl. No.: 09/476,092

Examiner: Naghmeh Mehrpour

Filed: January 3, 2000

Atty. Docket: 1744.0250001

For:

Analog Zero IF FM Decoder and

Embodiments Thereof, Such As

The Family Radio Service

Seventh Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Seventh Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Sixth Supplemental Information Disclosure Statement filed on May 14, 2004 in connection with the above-captioned application.

Applicants have listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the

teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AP59 and AE63 were cited in an Office Action in related U.S. Patent Application No. 09/567,977, filed May 10, 2000, entitled "Optical Down-converter Using Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent No. 6,608,647, Dines, J.A.B., "Smart Pixel Optoelectronic Receiver Based on a Charge Sensitive Amplifier Design," *IEEE Journal of Selected Topics in Quantum Electronics*, IEEE, Vol. 2, No. 1, pp. 117-120 (April 1996); Simoni, A. et al., "A Digital Camera for Machine Vision," 20th International Conference on Industrial Electronics, Control and Instrumentation, IEEE, pp. 879-883 (September 1994); and Stewart, R.W. and Pfann, E., "Oversampling and sigma-delta strategies for data conversion," Electronics & Communication Engineering Journal, IEEE, pp. 37-47 (February 1998), which have already been cited in the present application.

Document AG60-AI60 were cited in an Office Action in related U.S. Patent Application No. 10/317,165, filed April 14, 2000, entitled "Method and System for Down-Converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter.

Documents AA61 and AB61 were cited in an Office Action in related U.S. Patent Application No. 10/317,165, filed December 12, 2002, entitled "Method and Apparatus for Reducing DC Offsets in Communication Systems Using Universal Frequency Translation Technology," directed to related subject matter.

Document AC61 was cited in an Office Action in related U.S. Patent Application No. 09/669,634, filed September 26, 2000, entitled "High Frequency Translator and

Method of High Frequency Translation," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,955,992; 5,999,561; 6,686,879; 6,049,706; 6,421,534; and 6,560,301, and German Patent No. DE 196 48 915 A1 (and it's translation), which have already been cited in the present application.

Document AD61 was cited in an Office Action in related U.S. Patent Application No. 09/476,093, filed January 3, 2000, entitled "Communication System Method With Multi-Mode and Multi-Band Functionality and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,937,013 and 5,790,587, which have already been cited in the present application.

Documents AE61 and AF61 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/525,615, filed March 14, 2000, entitled "Method, System, and Apparatus for Balanced Frequency Up-conversion of a Baseband Signal and 4-Phase Receiver and Transceiver Embodiments," directed to related subject matter. Also cited in said Notice of Allowance were U.S. Patent Nos. 6,091,940; 6,370,371; 6,694,128; and 6,704,549, which have already been cited in the present application.

Documents AG61-AI61 were cited in an Written Opinion in related PCT Application No. PCT/US03/16403, filed May 27, 2003, entitled "Method and Apparatus for DC Offset Removal in a Radio Frequency Communication Channel," directed to related subject matter. Also cited in said Written Opinion was U.S. Patent No. 5,483,600, which has already been cited in the present application.

Documents AA62-AF62 were cited in an Office Action in related U.S. Patent Application No. 10/290,323, filed November 8, 2002, entitled "Method and Apparatus

for DC Offset Removal in a Radio Frequency Communication Channel," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,483,600, which has already been cited in the present application.

Documents AG62-AI62 were cited in an Office Action in related U.S. Patent Application No. 09/632,857, filed August 4, 2000, entitled "Wireless Local Area Network (WLAN) Technology and Applications Including Techniques of Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,937,013; 6,014,551; 6,073,001; 6,085,073; and 6,314,279, which have already been cited in the present application.

Documents AA63-AD63 were cited in an Office Action in related U.S. Patent Application No. 09/986,764, filed November 9, 2001, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter.

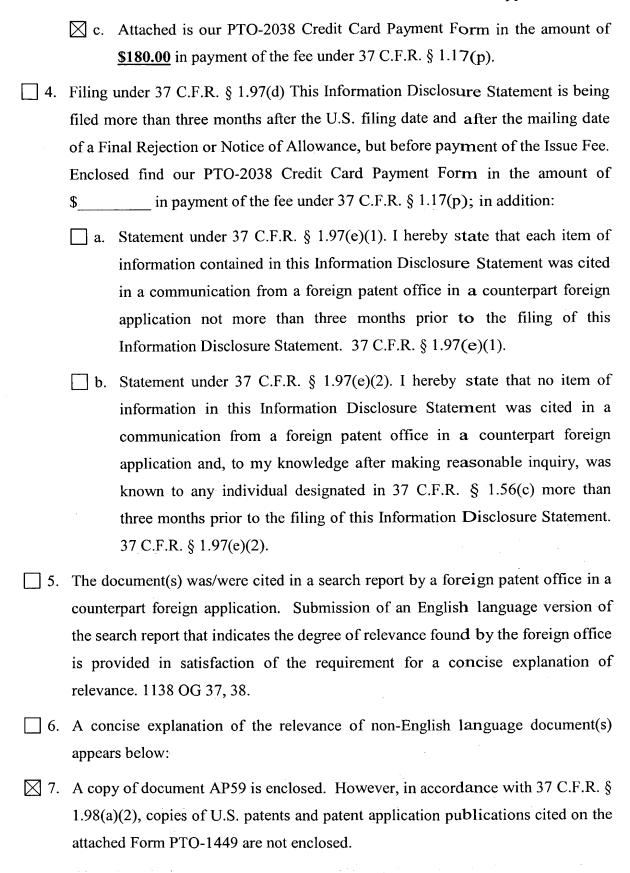
Documents AF63-AI63 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/987,193, filed November 13, 2001, entitled "Method and Apparatus for a Parallel Correlator and Applications Thereof," directed to related subject matter.

Document AA64 was cited in an Office Action in related U.S. Patent Application No. 09/632,857, filed August 4, 2000, entitled "Wireless Local Area Network (WLAN) Technology and Applications Including Techniques of Universal Frequency Translation," directed to related subject matter.

Document AB64 is a co-owned patent which is directed to related subject matter.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below. 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement. 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required. ⊠ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).



■ 8.	Copies of the documents were cited by or submitted to the Office in an IDS that
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed
	, which is relied upon for an earlier filing date under 35 U.S.C.
	§ 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
<u> </u>	It is expected that the examiner will review the prosecution and cited art in the
	parent application no, in accordance with MPEP 2001.06(b), and
	indicate in the next communication from the office that the art cited in the earlier
	prosecution histories have been reviewed in connection with the present
	application.
	It is respectfully requested that the Examiner initial and return a copy of the
enclos	ed Form PTO-1449, and indicate in the official file wrapper of this patent
applica	ation that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michelle K. Holoubek Agent for Applicants Registration No. 54,179

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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<u>INFO</u> F			<u>PPLEMENTAL</u> LOSURE STATEME	NT		NG DATE Jary 3, 2000		ART (2686	JNIT	
				U.S. PA		DOCUMENTS		12000		
EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	N/	AME		CLASS	SUB-CLASS	FILING DATE
	AA									
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	AC						R	ECEN	/ED	
	AD									
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	AG60	5,6	78,226	10/1997	Li	et al.				
	AH60	5,7	60,632	06/1998	K	awakami <i>et al.</i>		-111		
	AI60	6,1	60,280	12/2000	В	onn <i>et al</i> .				į
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	AN	·								
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	AP	<u>59</u>	Deboo, Gordon J 41-45 (1977).	., Integrate	ed Circui	its and Semicondu	ictor Devices	s, 2 nd Edi	tion, McGraw	-Hill, Inc., pp.
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EVAMINED			<u> </u>					VATE CC	NSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

NOV 1 8 2004 5 Page 2 of 5 APPLICATION NO. ATTY, DOCKET NO. 09/476,092 1744.0250001 **INVENTORS** Sorrells et al. SEVENTH SUPPLEMENTAL ART UNIT FILING DATE INFORMATION DISCLOSURE STATEMENT 2686 January 3, 2000 **U.S. PATENT DOCUMENTS EXAMINER CLASS** SUB-CLASS **FILING DATE** DOCUMENT NUMBER DATE NAME INITIAL 01/1996 Winters **AA61** 5,481,570 04/1998 Myer et al. **AB61** 5,745,846 09/1994 Madni et al. AC61 5,345,239 RECEIVED AD61 4,132,952 01/1979 Hongu et al. NOV 2 4 2004 Watanabe 11/1993 AE61 5,260,973 Technology Center 2600 10/2001 Eidson et al. AF61 6,307,894 B2 07/2000 Song et al. **AG61** 6,091,289 08/2002 Nguyen et al. 6,437,639 B1 AH61 2002/0037706 A1 03/2002 Ichihara AI61 FOREIGN PATENT DOCUMENTS **EXAMINER** CLASS SUB-CLASS **TRANSLATION** COUNTRY DOCUMENT NUMBER DATE INITIAL Yes ΑJ No Yes ΑK No Yes ΑL No Yes AM No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) AN ΑO ΑP AQ

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

DATE CONSIDERED

EXAMINER

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SEVENTH SUPPLEMENTAL

ATTY. DOCKET NO. 1744.0250001 **INVENTORS** Sorrells et al.

APPLICATION NO. 09/476,092

Page 3 of 5

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			U.S. PAT	ENT DOCUMENTS		12000		
EXAMINER NITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB-CLASS_	FILING DATE
	AA62	4,441,080	04/1984	Saari				
	AB62	4,873,492	10/1989	Myer				
	AC62	5,697,074	12/1997	Makikallio et al.				
	AD62	5,784,689	07/1998	Kobayashi	R	ECE	IVED	
	AE62	6,335,656 B1	01/2002	Goldfarb et al.	N	OV 2 4	2004	
•	AF62	6,690,232 B2	02/2004	Ueno et al.			enter 2600 -	
	AG62	5,636,140	06/1997	Lee et al.	1001111	ology of	anter 2000	
	AH62	6,366,622 B1	04/2002	Brown et al.				
	Al62	6,600,795 B1	07/2003	Ohta et al.				
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	AL							Ye No
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	Page 4 of 5
ATTY, DOCKET NO.	APPLICATION NO.
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SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			FILING DATE ART UNIT				
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INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA63	4,250,458	02/1981	Richmond et al.			
	AB63	5,760,629	06/1998	Urabe et al.			
	AC63	6,084,465	07/2000	Dasgupta	·		
	AD63	6,204,789 B1	03/2001	Nagata	RECE	IVED	
	AE63	6,064,054	05/2000	Waczynski et al.	NOV 2	4 2004	
	AF63	5,218,562	06/1993	Basehore et al.			
	AG63	5,239,496	08/1993	Vancraeynest	Technology (Jenici 2000	
	AH63	5,896,304	04/1999	Tiemann et al.			
	Al63	6,005,903	12/1999	Mendelovicz			
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	AL						Yes No
	AM						Yes No
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	Page 5 of 5
ATTY. DOCKET NO.	APPLICATION NO.
1744.0250001	09/476,092
INVENTORS	
Sorrells et al.	
FILING DATE	ART UNIT

INFOR		<u> DISCLOSURE STATEME</u>	ENT	FILING DATE January 3, 2000	ART (2686	JNIT	
			U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA64	5,834,979	11/1998	Yatsuka			
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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